# APPLICATION FOR CERTIFICATE OF FINANCIAL RESPONSIBILITY FOR **OWNERS OF OIL**

(\*\*\*WARNING: For security purposes all ZIP files transmitted to DFG/OSPR via e-mail will be returned undeliverable\*\*\*)

(Please type or print clearly in English when completing this application. Refer to page 6 of this application packet for instructions)

SECTION A. General Information – Applicant				
1. Company name of applicant, mailing address, telephone number, facsimile number and e-mail address. Include English equivalent of legal name if customarily written in a language other than English.				
Company name:				
Mailing address:				
Telephone number:				
Facsimile number:				
E-mail address:				
2. If a subsidiary or not wholly owned, provide the following information:				
a. Name and address of parent corporation/owner entities:				
b. Date of incorporation:				
c. State or foreign country in which incorporated:				
Address of applicant's principal office in the U.S., if any:				

4.	Company name, mailing address, telephone number, facsimile number, and e-mail address of financial person to contact if necessary to clarify this application:  Company name:
	Mailing address:
	Telephone number:
	Facsimile number:
	E-mail address:
5.	Name and street address (not a P.O. Box) where the certificate(s) should be delivered, and telephone number:

## SECTION C. EVIDENCE OF FINANCIAL RESPONSIBILITY

1. Owners or operators of tankers and large barges, and owners of oil must demonstrate the financial ability to pay at least one billion U.S. dollars (USD \$1,000,000,000) for damages arising from an oil spill.

These amounts are in effect unless amended by Section 8670.37.53(a) of the Government Code.

- 2. Applicant must check all method(s) to be used to demonstrate evidence of financial responsibility in item 4 below. For each method used attach copies of the required evidence as indicated below.
- 3. **Method(s) for demonstrating financial responsibility** (Indicate the method or methods selected):

**Insurance.** Submit any of the following evidence:

- 1. Insurance policy or other documentation, and a certificate of insurance specifying the nature, type, amount of insurance, and any conditions and limitations in the coverage for marine pollution liability; or
- A certificate of insurance that is acceptable to the Administrator and an endorsement executed by the underwriter or insurer which contains the language set forth in the California standard endorsement. Refer to the Endorsement for Vessels Calling on California- Oil Spill Liabilities, FG OSPR Form 1929 (2/08) and the Endorsement for Marine Facilities - Oil Spill Liabilities, FG OSPR Form 1962 (2/08); or
- 3. Similar or comparable assurance acceptable to the Administrator.

If an insurance policy or broker's cover note is being submitted as evidence for excess coverage over and above a P&I Club policy, then one of the following is required:

- a. Language that the policy covers damages as specified in Government Code Section 8670.56.5; or
- The insurance policy shall be signed by the underwriter and shall state that the insurance policy covers the assured's legal liability for oil pollution claims, and is issued under the same terms and conditions as the underlying P&I Club policy; or
- c. The underwriter shall acknowledge in writing that the broker has the authority to include language in the broker's cover note that the insurance policy covers the assured's legal liability for oil pollution claims, and is issued under the same terms and conditions as the underlying P&I Club policy.

When multiple underwriters are providing coverage, the underwriter who sets rates and conditions shall execute the appropriate documents.

[Refer to Title 14, California Code of Regulations, Section 795(a) for complete information regarding this method for demonstrating financial responsibility.]

Other

[E.g., self insurance, surety bond, etc.]

## **SECTION C. DECLARATION**

(Must be completed by all applicants)

applicant, an au application on be examined this a best of my know Furthermore, it is responsible part applicant, principal pr	thorized agent, or official of the appehalf of the applicant. I DECLARE pplication, including all accompanyidedge, information and belief, find it agreed that the applicant named it in the event of an oil spill. I exect	ng schedules and statements, and to the to be true, correct, and complete. In Section A of this application is the stee this declaration in my capacity as oplicant or as the authorized agent as		
Date		Signature		
		Title or Official Capacity		
* Note:	If the Declaration is signed by an authorized agent of the applicant, the applicant or a principal of the applicant must sign the following Section D. Delegation of Authority:			
SECT	ION D. DELEGATION OF AUTHO	RITY BY THE APPLICANT		
(Must be completed by the applicant or principal of the applicant if the above declaration has been executed by an agent acting on behalf of the applicant)				
Ι,		(name of the applicant) hereby		
declare that (name of authorized agent whose signature appears in Section C) is authorized to submit an application for a California Certificate of Financial Responsibility on behalf of the applicant.				
Date		Signature		
		Title or Official Capacity		

### INSTRUCTIONS

Please type or print clearly in English when completing this application.

1. Submit completed application by selecting one of the following methods:

U.S, Mail or Courier Service: Department of Fish and Game Office of Spill Prevention and Response (OSPR) 1700 K Street, Suite 250 Sacramento, California 95811

Fax (916) 323-4727:

E-Mail:

KHANF@OSPR.DFG.CA.GOV

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2. Miscellaneous application instructions:

If a question does not apply, answer "not applicable."

Applications which are incomplete will not be processed until receipt of the additional information needed to complete processing

If additional space is required, supplemental sheets may be attached.

3. Certificate Renewal Process:

Certificate renewal applications are sent to the applicant approximately seventy-five (75) days prior to the expiration of the existing certificate. In order to renew a certificate, renewal applications must be submitted prior to the expiration date.

Please contact the Financial Responsibility Unit at (916) 324-3413 if you have any questions.